

Compliance Analyst Toronto, Ontario

Brookfield Annuity Company is a life insurance company with a primary focus on the pension risk transfer market in which buy-out and buy-in group annuity policies are sold to defined benefit pension plans in Canada. The company was licensed by OSFI in late 2016 and has now entered its fifth year of active operations. With annual Canadian pension risk transfer volumes over \$5B and growing, new members of the Brookfield Annuity team have the opportunity to be part of this exciting company and market.

For more information, visit our website at www.brookfieldannuity.com.

Brookfield Annuity is wholly owned by **Brookfield Asset Management Inc.** (“Brookfield”). Brookfield is a global alternative asset manager with over \$550B in assets under management. The company has a 120-year heritage of owning and operating assets with a focus on property, renewable energy, infrastructure and private equity. Brookfield offers a range of public and private investment products and services and is co-listed on the New York (NYSE: BAM), Toronto (TSX: BAM.A) and Euronext (Euronext: BAMA) stock exchanges.

Brookfield Annuity is hiring a **Compliance Analyst** to join the Compliance team. This role will report to the Senior Manager, Compliance and Risk. The Compliance Analyst will provide support to the Company’s compliance and risk functions, with a focus on the Company’s regulatory compliance activities, including insurance, anti-money laundering and privacy. Given the nature of the role and our team-based approach, the Compliance Analyst will interact with other functions and departments, including operations, investments, risk and finance.

Responsibilities

- Regulatory compliance universe
 - Maintain the Company’s inventory of regulatory requirements and related controls including review of new regulatory requirements or changes to regulatory requirements for inclusion in the regulatory compliance management system (currently Ethidex)
 - Work with the Senior Manager to conduct regulatory risk assessments for applicable regulatory requirements
- Compliance testing and monitoring
 - Participate in the test planning process, applying a risk-based approach
 - Perform testing of business controls to ensure they are sufficient to meet regulatory requirements; identify potential control improvement opportunities and work with the various functions and departments to put remediation/implementation plans in place
 - Monitor and support progress on remediation plans
 - Assist in other internal compliance and risk-related reviews and audits, including enterprise-wide risk and control reviews
- Third line / regulatory reviews
 - Assist in the Company’s preparation for internal audit and regulatory reviews

- Support the monitoring and implementation of action plans resulting from third line or regulatory reviews
- Support to first line
 - Support process owners in design and implementation of first line controls, including development of process and control documentation
- Reporting
 - Participate in the preparation of reports for management and Board committees
 - Oversee the tracking of regulatory reporting and participate in report preparation
- Ad hoc advice and projects
 - Participate in internal working groups on ad hoc projects
 - Provide support as requested to the Chief Anti-Money Laundering Officer and the Chief Privacy Officer

Required Skills and Experience

- Bachelor's Degree in business, finance, accounting or a related field
- Co-op or internship experience in the insurance or pensions industries an asset
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- Experience using Microsoft Office (Excel, Word, PowerPoint and Outlook); Visio or equivalent
- Able to work on multiple tasks effectively and efficiently
- Able to learn new skills quickly
- Demonstrated ability with data query and reporting tools
- Readily voices opinions (supported by facts), escalates issues and ideas, and is able to positively manage conflict
- Strong organizational skills
- Motivated, resourceful and effective at working as part of a small, dedicated team

Please email your application to info@brookfieldannuity.com. Please include your resume and any covering comments you wish to provide.

We require applicants to undergo a background verification process prior to commencing employment with Brookfield Annuity. Employment is contingent on the satisfactory completion of pre-employment background checks.